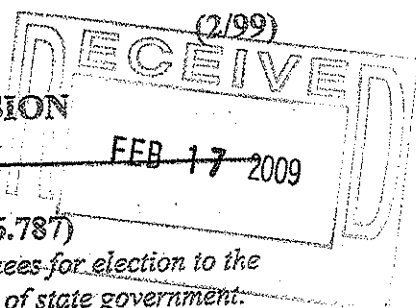


-2007- OK-T

(2/99)



KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☒ Check here and attach additional sheets if necessary. 3 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Jody Richards
Business address Po Box 1371 B B Ky 42102
Business telephone 270-781-9946
Home address 817 Culpeper St B B Ky 42103
Title of public position, or office sought State Rep 20th Dist.
Other occupations of filer President Superior Books, Inc
Occupations of spouse Retired

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Superior Books Inc
General Assembly

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Superior Books, Secretary/Treasurer

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

See attached

Sources and form of gross income of the filer (list sources by name) _____

Superior Books, Inc.
General Assembly, Legislative Salary

Sources and form of gross income of the filer's spouse (list sources by name) _____

Cers Retirement

Positions of a fiduciary nature in a business *N/A*

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

Warehouse/Office Bldg
141 Dishman Lane
B B Ky 42101

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)

Orchestra Kentucky of Bowling Green 246 (tickets)

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) N/A

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

N/A

The names of any of the filer's clients who are legislative agents or employers

N/A

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1-28-09
Date


Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.

* Wachovia Securities, LLC
1000 Wilkinson Trace
Bowling Green Ky 42103

- IRA (Jody)

AMCAP Fund Inc Class A AMCPX

Growth Fund America Class A ABTHX

Income Fund of America Class A AMECX

- IRA (Neva)

AMCAP Fund Inc Class A AMCPX

Growth Fund America Class A

Income Fund of America Class A AMECX

New Perspective FD Inc Class A ANWPX

- Annuity Sunlife Financial

MFS Growth Portfolios

MFS International Growth

New Discovery

MFS High yield Portfolios

MFS Capital Appreciation Portfolios

MFS/SL Blended Research Core Eq

MFS Government Securities Portfolios

- Republic Bank Corp Inc, Ky
Bank Stock

- National City ^{Bank} Corp NCC
Bank Stock

- Municipal Bonds

Jefferson Co Ky School District

Boone Co Ky Public Project

Warren Co Ky School Dist

Muhlenberg Co Ky School Dist

Hardins Co Ky School Dist

* 1st Global Capital Corp

C/o Wealth Management Inc

P O Box 104

B B Ky 42102

- American Funds Municipal Bonds

Euro Pacific Growth Fund-A

The Growth Fund of America -A

Smallcap World Fund -A

Capital World Growth + Income Fund-A

- Money Market

Prime Fund - Capital Reserves Class

* Hilliard Lyons

446 E Main St.

B B Ky 42101

- Republic Bank Corp Inc Ky

Class A Bank Stock

* The Vanguard Group
PO Box 2600
Valley Forge Pa 19482-2600

- Mutual Fund
Vanguard 500 Index Fund Investor Shares

* Republic Bank & Trust
1700 Scatterville Rd
B B Ky 42102

- Money Market

* American Bank & Trust
1302 Scatterville Rd
B B Ky 42102

Certificates of Deposit